



FLORIDA ATLANTIC UNIVERSITY

Board of Trustees

Item: **AC: A-3**

**Tuesday, August 13, 2024**

**SUBJECT: APPROVAL OF AMENDMENTS TO REGULATION 4.001, CODE OF ACADEMIC INTEGRITY**

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**PROPOSED BOARD ACTION**

Approve the amendments to FAU Regulation 4.001, Code of Academic Integrity

**BACKGROUND INFORMATION**

Florida Atlantic University's Regulation 4.001, Code of Academic Integrity, describes the University's expectations for ethical academic conduct and the procedures for charging a student with a violation of the Code. The proposed changes are summarized as follows:

1. Updates the examples of academic dishonesty to include unauthorized use of artificial intelligence.
2. Clarifies that students may not apply the University's forgiveness policy, drop, or withdraw from courses in which a violation of the Code of Academic Integrity occurred. This clarification is to maintain consistency between the regulation and existing university policies.
3. Grammatical revisions were made in sections related to academic dishonesty in the research context and procedures for processing allegations of academic dishonesty.

**IMPLEMENTATION PLAN/DATE**

If approved by the Board, the regulation will be effective August 13, 2024.

**FISCAL IMPLICATIONS**

N/A

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**Supporting Documentation:**

Text of proposed amended Regulation 4.001

**Presented by:**

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& General Counsel

**Phone:**

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# Florida Atlantic University

## Regulation 4.001

## Code of Academic Integrity

(1) Purpose. Students at Florida Atlantic University are expected to maintain the highest ethical standards. Dishonesty is considered a serious breach of these ethical standards, because it interferes with the University mission to provide a high quality education in which all students enjoy an equal opportunity to succeed. Dishonesty is also destructive of the University community, which is grounded in a system of mutual trust and places high value on personal integrity and individual responsibility.

(2) Definitions. The FAU Code of Academic Integrity prohibits dishonesty and requires a faculty member, student, or staff member to notify an instructor when there is reason to believe dishonesty has occurred in a course, program requirement, or University supported academic activity. The instructor must pursue any reasonable allegation, taking action where appropriate.

(A) For purposes of this regulation, “instructor” means the instructor of record for the course in which the alleged dishonesty occurred or, in the case of a program requirement or University supported academic activity, the faculty or staff member providing oversight or guidance for the requirement or activity. Allegations of academic dishonesty in the research context may also be reviewed in accordance with the University’s Division of Research Policy 10.1.1, *Research Misconduct*.

(B) Academic dishonesty includes any act designed to obtain, or assist another in obtaining, academic credit, grades, or other recognition, which is not properly earned, or behavior that improperly advances, protects, or diminishes the academic status of individuals or the University. Examples of academic dishonesty include, but are not limited to, the following:

1. Cheating

- a) ~~The unauthorized use of~~ Using unauthorized notes, books, electronic devices, artificial intelligence tools (“AI”), or other resources or study aids ~~—~~ while taking an examination or working on an assignment.
- b) Providing unauthorized assistance to another person or receiving unauthorized assistance from another ~~—person, —or~~ entity or AI during an examination or while working on an assignment.
- c) Having someone or unauthorized AI take an exam or complete an assignment in one’s place.
- d) Securing ~~an exam~~ items, receiving an unauthorized copy of ~~an exam~~ items, sharing a ~~—~~ copy of ~~an exam~~ items or uploading ~~an exam or exam~~ questionsexam items online.

2. Plagiarism

- a) ~~The presentation of~~ Presenting words from ~~any other a~~ source, ~~or another~~ person or AI as one’s own without proper quotation and citation.

- b) Putting ~~someone else's~~ the ideas or facts of a source, person or AI into your own words (paraphrasing) without proper citation.
  - c) Turning in ~~someone else's~~ work of generated by someone else or unauthorized AI as one's own, including the buying and selling of term papers or assignments.
3. Other Forms of Dishonesty
- a) Falsifying or inventing information, data, or citations.
  - b) Failing to comply with examination regulations or failing to obey the instructions of an examination proctor.
  - c) Submitting the same paper or assignment, or part thereof, in more than one class without the written consent of both instructors.
  - d) Any other form of academic cheating, plagiarism, or dishonesty.

(3) Procedures.

(A) If the instructor determines that there is sufficient evidence to believe that a student engaged in dishonesty, the instructor will meet with the student at the earliest possible opportunity and provide notice to the student of their perception of the facts, the charges against the student, and the sanction. If the student fails to respond to the instructor's written request for a meeting within ten (10) business days from the date of the request, the instructor will proceed with the written notice of allegation in accordance with paragraph (3)(B), below. The instructor may not remove the student from the course until the appeal process has ~~come to a conclusion~~concluded.

(B) If, after this meeting, the instructor continues to believe that the student engaged in dishonesty, or if the student failed to respond to the instructor's request for a meeting, the instructor will provide the student written notice of the allegation, the penalty, and a link to this regulation. The notice of allegation should be provided within ten (10) business days of the meeting with the instructor, unless the instructor notifies the student that additional time is needed. A copy of this allegation shall be sent to the chair of the department or director of the school/program administering the course.

(C) The student is entitled to an opportunity to be heard at a meeting with the instructor and chair/director to review and discuss the allegation. Such request for a meeting must be made in writing and received by the chair/director within five (5) business days of receipt of the notice of allegation.

1. The purpose of the meeting is to discuss the facts, explore potential resolution of the allegation, and advise the student of the appeal process. The chair/director will not issue a decision on the allegation without the mutual agreement of the student and the instructor.
2. If the allegation is not resolved during the meeting, the chair/director will provide the student, the instructor, and the dean of the college administering the course a summary of both the student's position and the instructor's position. The summary should be provided within ten (10) days after the meeting with the chair/director, unless the chair/director notifies the student that additional time is needed.

(D) If the allegation is not resolved at the meeting with the chair/director, the student may appeal in writing to the dean of the college administering the course. The appeal must be received by the dean within five (5) business days of receipt of the chair/director's summary from the review meeting. The dean will convene a Faculty-Student Council ("Council"), which will be composed of the dean (or designee), two faculty members, and two students. The dean (or designee) will act as chair of the Council, direct the hearing, and maintain the minutes and all records of the appeal hearing, which will not be transcribed or recorded. The hearing is an educational activity subject to student privacy laws/regulations, and the strict rules of evidence do not apply. The student may choose to be accompanied by a single advisor, but only the student may speak on their own behalf. The student and instructor may present testimony and documents on their behalf. Additional witnesses may be permitted to speak at the dean's (or designee's) discretion and only if relevant and helpful to the Council. The Council will deliberate and make a recommendation to the dean to affirm or void the instructor's findings of academic dishonesty only and shall not make a finding with regard to the penalty assigned by the instructor. The dean (or designee) will inform the student and instructor in writing of their findings after receipt of the Council's recommendation.

(E) The student may submit an appeal in writing of the dean's findings of academic dishonesty to the University Provost (or designee) and include relevant documentation in support of such appeal. The appeal must be received by the University Provost (or designee) within five (5) business days of receipt of the findings by the dean (or designee). The University Provost (or designee) will notify the student, dean, and instructor of his/her decision in writing. This decision by the Provost (or designee) constitutes final University action.

(F) At the conclusion of all appeals or the expiration of the applicable deadline for appeal, if there is a finding that the Code of Academic Integrity has been violated, the chair will notify the University Registrar that the following notation be included on both the student's academic transcript and on the student's internal record: "Violation of Code of Academic Integrity."

(4) Penalties.

(A) The instructor will determine the penalty to be administered to the student in the course. ~~If the penalty is a final course grade of "F" or "U", the penalty grade cannot be removed by drop, withdrawal, or forgiveness policy.~~ Students should be aware that, in some Colleges/programs, failure in a course or a finding of dishonesty may result in other penalties, including expulsion or suspension from the College/program.

(B) In the case of a first offense, the student may elect to complete an Academic Integrity Seminar administered by the Division of Student Affairs by the end of the semester following the semester in which the dishonesty occurred. **If the student fails to complete the Academic Integrity Seminar, the notation regarding the violation of the Code of Academic Integrity will remain permanently on the student's official transcript.** Upon successful completion of the seminar, the notation regarding violation of the Code of Academic Integrity will be expunged from the student's official transcript

but will remain in the student's internal University record. ~~If the violation results in a final course grade of "F" or "U", however, the grade will remain unchanged and cannot be removed by drop or forgiveness policy. Students may not apply to the University's forgiveness policy, drop, or withdraw from courses in which a violation of the Code of Academic Integrity occurred.~~

(C) In the case of a repeat offense, even if the notation of violation of the Code of Academic Integrity from the first offense had been expunged from the official transcript after successful completion of the Academic Integrity Seminar, the student will be expelled from the University. For purposes of this paragraph, a repeat offense occurs when a student has been found responsible for a violation of the Code of Academic Integrity in accordance with this regulation and is subsequently found responsible for a separate violation that occurred after a final decision is rendered on the first notice of allegations.

*Specific Authority: Article IX of the Florida Constitution, 1001.706, 1001.74 F.S., Board of Governors Regulations 1.001, 6.010, and 6.0105. History—New 10-1-75, Amended 12-17-78, 3-28-84, Formerly 6C5-4.01, Amended 11-11-87. Formerly 6C5-4.001. Amended 5-26-10;6-7-22; ~~xx-xx-2024~~.*