



Item: AC: A-M

**Audit and Compliance COMMITTEE**  
Tuesday, April 21, 2020

**SUBJECT: ROLL CALL AND APPROVAL OF THE February 11, 2020 MINUTES**

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**PROPOSED COMMITTEE ACTION**

Initiate roll call to document member participation, ensure quorum, and approve the Minutes of February 11, 2020 meeting.

**COMMITTEE MEMBERS**

Mr. Shaun Davis, Chair	_____
Mr. Robert Stilley, Vice Chair	_____
Mr. Kevin Buchanan	_____
Mr. Brent Burns	_____
Dr. Jeffrey Feingold	_____
Mr. Abdol Moabery (ex-officio)	_____
Ms. Elycia Morris	_____
Dr. Kevin Wagner	_____

**PARTICIPATING BOT MEMBERS**

Dr. Michael Dennis	_____
Dr. Malcolm Dorman	_____
Mr. Brad Levine	_____
Mrs. Mary Beth McDonald	_____
Mr. Robert Rubin	_____

## **Audit & Compliance Committee**

### **Draft Minutes**

February 11, 2020

#### **AC: A-M. Roll Call and Approval of the December 6, 2019 Meeting Minutes**

Mr. Shaun Davis, Chair of the Board of Trustees Audit and Compliance Committee, convened the meeting. Roll call commenced with the following committee members, in addition to Mr. Davis, participating: Mr. Robert Stilley, Vice Chair; Mr. Kevin Buchanan, Mr. Brent Burns, Dr. Jeffrey Feingold, Mr. Abdol Moabery (ex-officio), Ms. Elycia Morris, and Mr. Kevin Wagner.

Other Trustees attending the meeting included: Dr. Michael Dennis, Dr. Malcolm Dorman, Mr. Brad Levine, Ms. MaryBeth McDonald, and Mr. Robert Rubin.

Chair Davis asked for approval of the December 6, 2019 meeting minutes. **A motion was made and seconded to approve the December 6, 2019 Audit and Compliance meeting minutes. With no further discussion, the motion passed unanimously.**

#### **AC: A-1. Review of Audits: FAU 19/20-1, Performance Based Funding Data Integrity as of November 30, 2019**

Ms. Stacy Volnick, VP of Administrative Affairs, presented the item. Ms. Volnick said this is required by the Board of Governors and updated annually. She reported that there were no audit findings.

**A motion was made and seconded to approve the Review of Audits: FAU 19/20-1, Performance Based Funding Data Integrity as of November 30, 2019. With no further discussion, the motion passed unanimously.**

#### **AC: I-1. Office of Inspector General's Report of Annual Activities for Fiscal Year Ended June 30, 2019**

Ms. Volnick presented the item. As required by the Board of Governors, FAU is required to send a report on annual activities. There is nothing cited in this report that the board has not already seen. There are three internal audits that are quite extensive. The office has followed up on nine prior audit recommendations. On the inspector general website, there is a place where people can send anonymous complaint, with concerns related to fraud or potential fraud, as well as other issues. The office gets numerous complaints through the website. She is happy to report that none resulted in any type of investigation.

On the laboratory safety audit, all of the recommendations that were cited, have either been implemented or working on the contract details. One is providing a database for chemical inventory and documentation of laboratory safety.

Chair Davis asked about NCAA compliance audit. Ms. Volnick replied that follow-up is ongoing and will be reported at the next board meeting.

Trustee Stilley asked about a survey that was sent to different departments. Ms. Volnick replied they do not send the survey. She mentioned at a previous meeting that they only get one or two responses. Instead, she charged the office to look at the departments of highest risk, those with a reputation, financially, and national trends, such as cyber security. We presented those opportunities to Chair Davis, who reviewed them. We identified those areas that need to be audited.

**The meeting was adjourned.**

DRAFT