



Item: AC: I-3

AUDIT AND COMPLIANCE COMMITTEE

Tuesday, March 14, 2017

SUBJECT: BACKGROUND ON COMPLIANCE

PROPOSED COMMITTEE ACTION

For Information Only.

BACKGROUND INFORMATION

Ms. Stacy Volnick, VP of Administrative Affairs and Ms. Morgan Kim, Chief Compliance Officer will speak about FAU's efforts on compliance and BOG Regulation 4.3 – SUS Compliance and Ethics Programs.

IMPLEMENTATION PLAN/DATE

N/A

FISCAL IMPLICATIONS

N/A

Supporting Documentation: N/A

Presented by: Ms. Stacy Volnick, VP of Administrative Affairs
Ms. Morgan Kim, Chief Compliance Officer

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